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Washington, D.C. 20231

SERIAL NO. 09/864,136

IBM DOCKET NO. AUS920000936US1

DATE: March 23, 2005

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Number of Pages to Follow (including this cover sheet) 10

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FROM: Joseph T. Van Leeuwen
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Docket No. AUS920000936US1

Serial No. 09/864,136

Atty: HR / JVL

Applicant: D'Sa, et al.

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| <input checked="" type="checkbox"/> Transmittal Letter (2 copies) | <input checked="" type="checkbox"/> Certificate of Facsimile |
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| <input type="checkbox"/> Amendment AF | <input type="checkbox"/> Appeal Brief (3 copies) |
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| <input checked="" type="checkbox"/> IDS Statement | <input type="checkbox"/> Change of Address |
| <input checked="" type="checkbox"/> Form PTO-1449 (Modified) | |
| <input checked="" type="checkbox"/> Other - <u>Copy of non-patent reference (5 pages)</u> | |

Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other \$ 0.00

Atty Ref. No. IBM-1007


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SERIAL NO. 09/864,136

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P.O. Box 1450
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|  Joseph T. Van Leeuwen | <u>3/23/05</u> Date |

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: D'Sa, et al.

For: System and Method for Multiple Virtual Private Network Authentication Schemes


Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.197(d) and:
 - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
 - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
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- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

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Atty Ref. No. IBM-1007

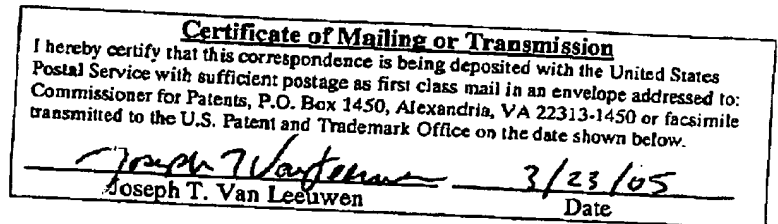
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Respectfully submitted,

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Atty Ref. No. IBM-1007

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Washington, D.C. 20231

SERIAL NO. 09/864,136

IBM DOCKET NO. AUS920000936US1

DATE: March 23, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2136
D'Sa, et. al. : Intellectual Property Law Department
Serial No.: 09/864,136 : International Business
Filed: May 24, 2001 : Machines Corporation
Title: System and Method for Multiple : Intellectual Property Law Dept.
Virtual Private Network : 11400 Burnet Road
Authentication Schemes : Austin, Texas 78758

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INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
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Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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Page 1 of 1

Atty Ref. No. IBM-1007

In Place of FORM PTO-1449 (Modified)

Serial No. 09/864,136**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**Applicant: D'Sa, et. al.Filing Date: 5/24/2001Group: 2136Atty. Docket No. AUS920000936US1**U. S. PATENT DOCUMENTS**

| Examiner <u>Initial</u> | Document <u>Number</u> | <u>Date</u> | <u>Name</u> | Classification <u>Class / Subclass</u> | Filing Date if <u>Appropriate</u> |
|----------------------------|---------------------------|-------------|----------------|---|--------------------------------------|
| _____ AA | 5,657,390 | 08-1997 | Elgamal et al. | 713/151 | |
| _____ AB | 5,922,074 | 07-1999 | Richard et al. | 713/200 | |
| _____ AC | | | | | |
| _____ AD | | | | | |
| _____ AE | | | | | |
| _____ AF | | | | | |
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| _____ AL | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner <u>Initial</u> | Document <u>Number</u> | <u>Date</u> | <u>Country</u> | Classification | Translation <u>Yes No</u> |
|----------------------------|---------------------------|-------------|----------------|----------------|------------------------------|
| _____ AM | | | | | |
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| _____ AO | | | | | |
| _____ AP | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner <u>Initial</u> | |
|----------------------------|---|
| _____ AQ | Schneier, Bruce, "Applied Cryptography," 1996, John Wiley and Sons, Second Edition, pp. 185-187 |
| _____ AR | |
| _____ AS | |
| _____ AT | |
| _____ AU | |

Examiner:

Date Considered:

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